

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 09792909-5767

First Named Inventor: Yuji Takaoka

Title: SEMICONDUCTOR DEVICE AND PROCESS
FOR FABRICATING THE SAME

Express Mail Label No. EV328248422US

Date of Deposit: November 21, 2003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ This Form includes the Fee Transmittal (See Box 19)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification [Total Pages 21]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 7]
5. ☒ Declaration and Power of Attorney [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R in duplicate; or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
(Copy from parent)
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
15. ☒ Copy of cover sheet of Certified copy of Japanese Priority Document:
Document No. P2000-083367, filed on March 24, 2000,
filed in parent application Serial No. 09/816,055.
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/816,055
Prior application information: Examiner Name: N. Berezny Group Art Unit: 2813

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. FEE CALCULATIONS:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
	TOTAL CLAIMS	5		\$18.00	\$
	INDEPENDENT CLAIMS	1		\$86.00	\$
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input type="checkbox"/> NO		\$290.00	\$
				SUBTOTAL	\$770.00

- a. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. **A duplicate copy of this sheet is enclosed.**
- b. ☐ The enclosed check in the amount of \$_____ covers the total claim fee and recordation fee.
- c. ☒ Please charge attorney's firm American Express Account No. 378571697401002 in the amount of \$ 770.00 to cover the above fees. PTO Form 2038 is enclosed for that purpose.

20. ☒ CUSTOMER NO. 26263

Dated: November 21, 2003

SIGNATURE: Christopher P. Rauch
Christopher P. Rauch (Reg. No. 45,034)

17548 U.S. PTO
10/719926

112103

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited as Express Mail # EV328248422US in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 21, 2003.

 (Reg. No. 45,034)
Christopher P. Rauch